Qflow

Dashboard Insights

| Compliance pages – Explained |

What is the purpose of the compliance pages?

The Qflow compliance pages are intended to give you a snapshot of where some of the key risks sit within the supply chain, in relation to material and waste compliance.

Material compliance or risks, are identified as any products or materials arriving on site, which do not hold responsible sourcing certificates as required by your project certification schemes (e.g. BREEAM).

Waste compliance or risks, are identified as those waste movements which take place with incorrect documentation according to the Duty of Care requirements in the UK. This can include missing SIC codes, through to un-permitted waste facilities, all of which carry varying levels of risk associated.

The intention of this page is to draw your attention to the highest risk areas, for action to be enabled, and for you to rest easy that the compliance of your projects is as high as can be.

What is compliance?

Compliance means something slightly different to everyone and every project. At Qflow, we have defined compliance on your dashboard as the information which is most important to your practices and stakeholders.

Deliveries

Any ticket with an issue relating to the following will be classified as non-compliant:
- No FSC/PEFC
- No BES6001
- No ISO14001
- No CARES
- No volume

Note: these product requirements are subject to your project and are selected when you sign up to Qflow.

Waste

Any ticket with an issue relating to the following will be classified as non-compliant:
- No EWC code
- No SIC code
- No Carrier licence
- No Facility Permit/Exemption

The tickets will change to compliant once all the relevant issues have been managed; you can do this within the Qflow portal. Alternatively, you can access the tickets via the hyperlinks in the open record issues/open item issue tables on the compliance page on your dashboard.

Original vs Current compliance

- **Original compliance** refers to the compliance of a ticket *before* it has been reviewed and edited, or the Event closed out.
- **Current compliance** refers to the compliance of a ticket as of today. A ticket is categorised as compliant *after* it has been reviewed and edited, or the Event closed out.

This means you may see different % figures on your dashboard, showing this key difference of acting on the Events and Notifications that Qflow is sending your teams. The **current compliance** is to be used as the best measure of the live status of your project(s).

You'll see reference to "non-compliant and open", "non-compliant and rectified", "compliant", "original compliance", and "current compliance" in a bar chart. The definitions of these are shown below:

Non-compliant and open = An Event (i.e. risk) has been notified to your team, and it remains open (i.e. hasn't been closed out or acted on). This shows where attention is most needed, to continue closing out open risks.

Non-compliant and rectified = An Event (i.e. risk) has been notified to your team, and it has been acted on and closed out.

Compliant = the delivery/waste movement was compliant and no risks identified.

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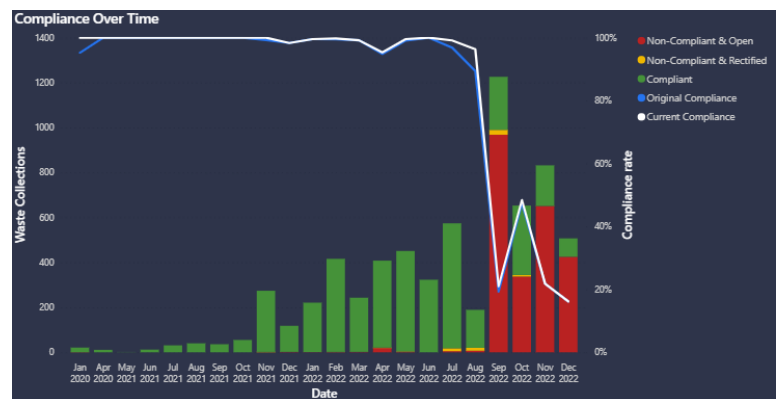
Difference between "open record" vs "open product/waste" issues

The notifications sent out by Qflow in relation to risks of non-compliance are considered "issues" (otherwise referred to as "Events"). These can include different types of notification, including missing FSC certification, ISO14001, BES6001, CARES and others.

Sometimes, these notifications are related to suppliers of goods, and sometimes these notifications are related to specific products listed for the delivery (and/or manufacturers), depending on the rule which has been set up for certain notifications. This means that the issues (or "Events") as listed in the tables shown below, are split between **record** and specific **product** issues.

The detail isn't too important to bear in mind, however main thing to note is that these issues (or "Events") are not doubled up, and each should be considered an open risk to act on. Further information on each of these is available by expanding the issue (or "Event") in question. See below for details on how to do this.

Open Record Issues		Open Product Issues	
Meaning	Issues	Meaning	Issues
No FSC or PEFC	8	No Cares certificate	258
No Cares certificate	2		178
No BES6001	1	No BES6001	8
Total	**11**	No BES6001 or ISO14001	7
		No FSC or PEFC	5
		Total	456

No FSC or PEFC	8
The Timber Group	2
A1 Tools and Fixings Ltd	1
Greenham	1
Interlink Alloy Systems	1
Arndean International Limited	1
L Impeccable Services Solutions Ltd	1
Speedy Asset Services Limited	1

Clicking on the + sign will expand the instances when these risks occurred, for your teams to dig into.

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If you have any questions, please don't hesitate to reach out to your Customer Success Manager, or at support@qualisflow.com